

# **Notice of References Cited**

Application/Control No.

10/007,002

Applicant(s)/Patent Under  
Reexamination  
NEAL ET AL.

Examiner

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Edward R. Cosimano

Art Unit

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## **U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
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	B	US-6,173,345	01-2001	Stevens	710/100
	C	US-			
	D	US-			
	E	US-			
	F	US-			
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	K	US-			
	L	US-			
	M	US-			

## **FOREIGN PATENT DOCUMENTS**

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## **NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Yeoman: "THE OPTIMAL OFFERING PRICE FOR UNDERWRITTEN SEURITIES"; 1993, Volumne 55/01-A of the Dissertation Abstracts international, page 4743, (ABSTRACT ONLY).
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
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